



2921

SECTOR #43
225/298
Patent
5/20/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Axel Ullrich et al.

Serial No. 08/877,150

Filed: June 17, 1997

For: NOVEL PTT20, PCP-2, BDP1, CLK,
AND SIRP PROTEINS AND RELATED
PRODUCTS AND METHODS

Group Art Unit: 1801

Examiner NOT YET ASSIGNED

RECEIVED

MAY 15 1998

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SUBMISSION OF MISSING PARTS AND RELATED
APPLICATION PAPERS

Attention: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR §1.53(d),
which was mailed on November 6, 1997, enclosed are:

- ☒ Combined Declaration and Power of Attorney (Original), signed in counterpart
- ☐ Recordation Form Cover Sheet (Patents Only),
- ☐ Assignment of the Invention to _____
- ☐ A Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(b).
- ☐ Statement under 37 CFR §1.821
- ☐ Sequence Listing with Diskette

1. REQUEST FOR EXTENSION OF TIME:

- ☒ Applicant requests an Extension of Time of 4 months to respond; the requisite fee has been calculated as shown below:

| | | | Small Entity | Large Entity |
|-------------------|-------------------|-------------------|---------------|--------------|
| 05/15/1998 DFLOYD | 00000004 08877150 | 1 month | \$ 55.00 | \$ 110.00 |
| 01 FC:101 | [] | 790.00 00 months | \$ 200.00 | \$ 400.00 |
| 02 FC:103 | [] | 330.00 00 months | \$ 475.00 | \$ 950.00 |
| 03 FC:102 | [] | 902.00 00 months | \$ 755.00 | \$1510.00 |
| 04 FC:104 | [X] | 270.00 00 months | \$0.00 | \$1510.00 |
| 05 FC:105 | | 130.00 00 months | | |
| 06 FC:118 | | 1510.00 00 months | | |
| | | | Total Fee Due | |

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Dated: May 6, 1998

By: Karen M. Cruz
Karen M. Cruz

2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:
BASIC FILING FEE

| | | |
|--|---|------------------|
| | | \$790.00 |
| Total Claims: | <u>35</u> - (20) = <u>15</u> x \$ 22 (extra fee charge) = | \$330.00 |
| Independent Claims: | <u>14</u> - (3) = <u>11</u> x \$ 82 (extra fee charge) = | \$902.00 |
| Multiple Dependent Claims | <u>X</u> \$270 (if applicable) = | \$270.00 |
| Surcharge under 37 CFR 1.16(e) | | \$130.00 |
| Extension of Time Fee (<u>4</u> months) | | \$1510.00 |
| TOTAL OF ABOVE CALCULATIONS | | \$3932.00 |
| Reduction by 1/2 for Filing by SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. If application, Verified Statement must be attached | | \$0.00 |
| Miscel. Filing Fees (Recordation of Assignment) | | \$0.00 |
| TOTAL FEES | | \$3932.00 |

3. METHOD OF PAYMENT OF FEES

X A check in the amount of \$3932.00
 _____ Charge Deposit Account No. 12-2475 in the amount of \$_____. A duplicate copy of this Transmittal is enclosed.


4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 12-2475

X The Commissioner is authorized to charge Counsel's Deposit Account No. 12-2475 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON

Dated: May 6, 1998

By: 
 Charles S. Berkman
 Reg. No. 38,077

633 West Fifth Street, Suite 4700
 Los Angeles, CA 90071-2066
 Telephone: (619) 552-8400
 Facsimile: (213) 955-0440

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 08/877,150 | 06/17/97 | ULLRICH | A 225/298 |

LYON & LYON
FIRST INTERSTATE WORLD CENTER
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES CA 90071-2066

0292/1106

NOT ASSIGNED

DATE MAILED: 1801

11/06/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ large entity ☐ small entity (verified statement filed), is \$ 2422.

☐ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1502, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

☐ missing.

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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